

UNITED STATES PATENT APPLICATION

for

**A METHOD AND AN APPARATUS TO**

**PROVIDE OPTICAL EQUIPMENT PROTECTION**

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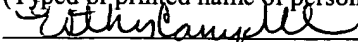
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# **A METHOD AND AN APPARATUS TO PROVIDE OPTICAL EQUIPMENT PROTECTION**

## **FIELD OF INVENTION**

[0001] The present invention relates to optical network systems, and more particularly, to providing optical equipment protection in an optical network system.

## **BACKGROUND**

[0002] In a contemporary optical network system, a number of optical network nodes may be coupled to each other via optical fibers. Optical signals are transmitted across the optical fibers from one optical network node to another. The optical network nodes may be located in different geographical sites. All physical modules that comprise the node have a non-zero failure rate. In the event of a module failure, modules in it usually takes a significant amount of time for a technician to travel to a particular site to replace a failed or degraded optical network node. During this time, information cannot pass and the network is said to be “unavailable” for flows that are disrupted.

[0003] To improve the availability of the optical network nodes and to reduce potential down time due to equipment failure in an optical network system, some existing optical network nodes include one or more protection modules as a back up for one or more working modules. Optical switches gating an incoming optical signal to different parts of the optical network node is used in some optical network nodes to switch from a failed module to the protection module when an error signal is detected. However, the system may not know whether the protection module is operational until the switch passes the optical signal to the protection module when the working module fails. Therefore, a hidden failure problem with the protection module may exist without being

detected until the protection module is used. Such a hidden failure problem may cause unexpected delay in the recovery of the optical network system.

[0004] Alternatively, some existing optical network nodes include optical splitters to split optical signals entering different parts of the optical network nodes. But such a hardware configuration typically adopts a fairly complex rerouting strategy to allow for the case in which only some of the parts of the optical network nodes fail and the optical network nodes are not entirely replaced with protection equipment.

## **SUMMARY**

**[0005]**        The present invention includes a method to provide optical equipment protection. In one embodiment, the method includes splitting an incoming optical signal into a first and a second optical signals, sending the first and the second optical signals to a first and a second optical equipments in an optical network node, respectively, the second equipment being a protection module for the first equipment, monitoring a first and a second outgoing optical signals from the first and second equipments, and declaring a failure of the optical network node if one of the first and the second outgoing optical signals has failed.

**[0006]**        Other features of the present invention will be apparent from the accompanying drawings and from the detailed description that follows.

## **BRIEF DESCRIPTION OF THE DRAWINGS**

[0007] The present invention is illustrated by way of example and not limitation in the figures of the accompanying drawings, in which like references indicate similar elements and in which:

[0008] Figure 1 illustrates an exemplary embodiment of an optical network system;

[0009] Figure 2A illustrates one embodiment of an optical network system comprising an optical cross-connect;

[0010] Figure 2B illustrates an example of wavelength switching in the exemplary optical cross-connect 200;

[0011] Figure 2C illustrates one embodiment of an optical network system providing optical equipment protection; and

[0012] Figure 3 illustrates a flow diagram of one embodiment of a process to provide optical equipment protection.

## **DETAILED DESCRIPTION**

**[0013]** A method to provide optical equipment protection in optical network systems is described. In the following description, numerous specific details are set forth. However, it is understood that embodiments of the invention may be practiced without these specific details. In other instances, well-known components, structures, and techniques have not been shown in detail in order not to obscure the understanding of this description.

**[0014]** Some portions of the following detailed description are presented in terms of algorithms and symbolic representations of operations on data bits within a computer memory. These algorithmic descriptions and representations are the tools used by those skilled in the data processing arts to most effectively convey the substance of their work to others skilled in the art. An algorithm is here, and generally, conceived to be a self-consistent sequence of operations leading to a desired result. The operations are those requiring physical manipulations of physical quantities. Usually, though not necessarily, these quantities take the form of electrical or magnetic signals capable of being stored, transferred, combined, compared, and otherwise manipulated. It has proven convenient at times, principally for reasons of common usage, to refer to these signals as bits, values, elements, symbols, characters, terms, numbers, or the like.

**[0015]** It should be kept in mind, however, that all of these and similar terms are to be associated with the appropriate physical quantities and are merely convenient labels applied to these quantities. Unless specifically stated otherwise as apparent from the following discussion, it is appreciated that throughout the description, discussions utilizing terms such as "processing" or "computing" or "calculating" or "determining" or

"displaying" or the like, refer to the action and processes of a computer system, or similar electronic computing device, that manipulates and transforms data represented as physical (electronic) quantities within the computer system's registers and memories into other data similarly represented as physical quantities within the computer system memories or registers or other such information storage, transmission or display devices.

[0016] The present invention also relates to an apparatus for performing the operations described herein. This apparatus may be specially constructed for the required purposes, or it may comprise a general-purpose computer selectively activated or reconfigured by a computer program stored in the computer. Such a computer program may be stored in a computer readable storage medium, such as, but is not limited to, any type of disk including floppy disks, optical disks, CD-ROMs, and magnetic-optical disks, read-only memories (ROMs), random access memories (RAMs), EPROMs, EEPROMs, magnetic or optical cards, or any type of media suitable for storing electronic instructions, and each coupled to a computer system bus.

[0017] The processes and displays presented herein are not inherently related to any particular computer or other apparatus. Various general-purpose systems may be used with programs in accordance with the teachings herein, or it may prove convenient to construct a more specialized apparatus to perform the operations described. The required structure for a variety of these systems will appear from the description below. In addition, the present invention is not described with reference to any particular programming language. It will be appreciated that a variety of programming languages may be used to implement the teachings of the invention as described herein.

[0018] A machine-readable medium includes any mechanism for storing or transmitting information in a form readable by a machine (e.g., a computer). For example, a machine-readable medium includes read only memory (“ROM”); random access memory (“RAM”); magnetic disk storage media; optical storage media; flash memory devices; electrical, optical, acoustical or other form of propagated signals (e.g., carrier waves, infrared signals, digital signals, etc.); etc.

[0019] **Figure 1** illustrates an exemplary embodiment of an optical network system. The optical network system 100 includes three optical network nodes 110, 120, and 130, and two sets of optical fiber cables 115 and 125. The optical network nodes 110 and 120 are coupled to each other via the optical fiber cables 115. Likewise, the optical network nodes 120 and 130 are coupled to each other via the optical fiber cables 125. Optical signals travel between point X 101 and point Y 109 via the optical network nodes 110, 120, and 130.

[0020] In general, the traffic of optical signals in the optical network system 100 may be interrupted by two types of failures. The first type of failure occurs in either set of the optical fiber cables 115 or 125. The second type of failure occurs in one or more of the optical network nodes 110, 120, and 130. The second type of failure is also referred to as optical equipment failure. Various embodiments of a method and an apparatus to protect against optical equipment failure are discussed below.

[0021] **Figure 2A** illustrates one embodiment of an optical network system comprising an optical cross-connect (OXC). The OXC is connected to other optical network nodes A-F (210-260). The optical network nodes A-F (210-260) are coupled to each other via optical fiber cables 270. To illustrate the concept, the optical network



node 260 is illustrated in detail in Figure 2A. The optical network node 260 includes fiber interfaces 201-205, each of which corresponds to one of the optical network nodes coupled to the optical network node 260. For instance, the fiber interface 201 is coupled to the optical network node 210. It should be apparent that the optical network node may include a different number of fiber interfaces, depending on the number of optical network nodes that are coupled to this optical network node in the optical network system. Each of the fiber interfaces 201-205 may include amplifiers, multiplexers, and de-multiplexers to amplify the incoming or outgoing optical signals, to demultiplex the incoming optical signal by wavelength, and to de-multiplex the outgoing optical signal.

[0022] The optical network node 260 further includes an optical signal processor 206. In one embodiment, the optical signal processor 206 includes wavelength switch modules. The optical signal processor 206 processes incoming optical signals and switches each of the incoming optical signals to one of the fiber interfaces 201-205 to be output to another optical network node or to be dropped to an optical-electrical conversion domain. For example, the input optical signal 2001 from the optical network node 210 enters the optical network node 260 via the fiber interface 201. The optical signal processor 206 may process the signal 2001 and directs the processed signal to the fiber interface 201 to output the processed signal as the optical signal 2009.

[0023] One should appreciate that although Figure 2A shows a particular direction on a particular optical fiber, optical signal traffic may go in both directions on all optical fibers.

[0024] Note that any or all of the components of the system in Figure 2A and associated hardware may be used in various embodiments of the present invention.

However, it can be appreciated that other configurations of the networked data storage system may include some or all of the devices disclosed above.

[0025] **Figure 2B** illustrates an example of wavelength switching in the exemplary optical cross-connect 200. In one embodiment, the incoming optical signal 2001 carried by a single fiber cable includes multiple optical signals carried in different wavelengths. For instance, the optical signal 2001 is shown to include optical signals carried in four different wavelengths 2003. The optical signal processor 206 may demultiplex the optical signal 2001 by wavelength into four optical signals, each carried by a distinct wavelength. Then the optical signal processor 206 may forward each of the demultiplexed signals to one of the fiber interfaces 202-205 to be output via the corresponding fiber interfaces 202-205. Therefore, if something is wrong with the fiber interface 201, the optical signals flowing out from the other fiber interfaces 202-205 are affected.

[0026] **Figure 2C** illustrates one embodiment of an optical network system providing optical equipment protection. The system 290 may include an OXC, such as the OXC 200 in Figures 2A and 2B. Referring to Figure 2C, the system 290 includes two optical equipments 291 and 292 and a number of protection modules 2931-2935. The optical equipments 291 and 292, as well as the protection modules 2931-2935, may be part of an OXC. One should appreciate that additional components, such as additional optical network nodes, that may be included in the system 290 are not shown in Figure 2C to avoid obscuring the view.

[0027] The optical equipments 291 and 292 are substantially identical to each other such that each of the optical equipments 291 and 292 can be viewed as a protection

equipment or backup equipment for the other. In one embodiment, the optical equipments 291 and 292 are within a single optical network node. Each of the optical equipments 291 and 292 includes a number of fiber interfaces 2911-2915 or 2921-2925 and an optical signal processor 2916 or 2926.

[0028] The optical network system 290 further includes a number of protection modules 2931-2935, each of which is coupled to a corresponding fiber interface of each of the optical equipments 291 and 292. For instance, the protection module 2931 is coupled to the fiber interface 2911 of the optical equipment 291 and the fiber interface 2921 of the optical equipment 292. Some of the protection modules couple the optical equipments 291 and 292 to another optical network node, while the remaining protection modules couple the optical equipments 291 and 292 to a local source of optical signals, such as an optical-electrical conversion domain of the optical network node. For example, referring to Figure 2C, the protection modules 2931-2934 are coupled to other optical network nodes and the protection module 2935 is coupled to the local source of optical signals.

[0029] Each of the protection modules 2931-2935 includes an optical splitter (e.g., 2931.2) and an optical switch (e.g., 2931.4). The optical splitter splits an incoming optical signal into two optical signals. In one embodiment, the two split optical signals are substantially identical. One of the split optical signals is input to the optical equipment 291 and the other to the optical equipment 292 so that both optical equipments 291 and 292 receive live optical signals from the splitters of the protection modules 2931-2935.

[0030] Likewise, the optical switch receives two optical signals, one from each of the optical equipments 291 and 292, and outputs one of these two optical signals. For example, the switch 2931.4 in the protection module 2931 receives an optical signal from the fiber interface 2911 and another optical signal from the fiber interface 2921. The switch 2931.4 outputs one of these two optical signals. Therefore, the switches can be used to bypass one of the optical equipments 291 and 292. Furthermore, in one embodiment, the signal output by the switch in the protection module 2935 is converted into one or more electrical signals. The conversion of the optical signal into electrical signal may also be referred to as terminating the optical signal.

[0031] Each of the protection modules 2931-2934 couples both the optical equipments 291 and 292 to another optical network node (e.g., optical network nodes 210-250 in Figure 2B) in the optical network system 290. When either one of the optical equipment 291 or 292 fails, the optical switches in the protection modules 2931-2935 change state to bypass the failed optical equipment entirely, regardless of which part within the optical equipment fails. In one embodiment, the switches switch substantially simultaneously when one of the switches receives a good signal and a failed signal from the optical equipments 291 and 292. More detail of one embodiment of a process to provide optical equipment protection is discussed below with reference to Figure 3.

[0032] **Figure 3** illustrates a flow diagram of one embodiment of a process to provide optical equipment protection in an optical network system. The process is performed by processing logic that may comprise hardware (e.g., circuitry, dedicated logic, etc.), software (such as is run on a general-purpose computer system or a dedicated machine), or a combination of both.

[0033] Processing logic receives live optical signals on both working and protection sides of an optical network node (processing block 310). Then processing logic determines whether any error signal is detected on either side (processing block 320). If no error signal is detected on either side, processing logic returns to processing block 310 to continue receiving live signals.

[0034] If an error signal is detected on either side, then processing logic determines whether a good signal is detected on the other side (processing block 330). If processing logic does not detect any good signal on the other side, then processing logic determines that the failure is outside of the optical network node as neither the working nor the protection side functions properly, and hence, processing logic does not change the states of the switches coupled to the output ports of the working and protection modules (processing block 340).

[0035] Otherwise, processing logic declares an optical equipment failure (processing block 350). Processing logic may send an alarm to prompt a technician to replace the failed side. Then processing logic causes the switches to bypass the failed equipment by switching to the side outputting the good signal (processing block 360). After bypassing the failed equipment, processing logic confirms the switching has been successful (processing block 370). Then processing logic may return to the processing block 310 to repeat the process. In the mean time, the optical network node may continue to transmit optical signals with the remaining operational equipment.

[0036] The foregoing discussion merely describes some exemplary embodiments of the present invention. One skilled in the art will readily recognize from such

discussion, the accompanying drawings and the claims that various modifications can be made without departing from the spirit and scope of the invention.